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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lu et al.  
Serial No. : 10/728,195  
Filed : December 3, 2003  
Title : POLYVALENT, PRIMARY HIV-1 GLYCOPROTEIN DNA VACCINES AND  
VACCINATION METHODS

Art Unit : 1642  
Examiner : Unknown

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

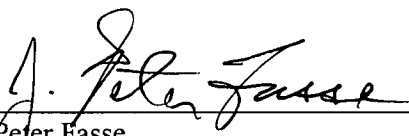
INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed before the receipt of a first Office Action on the merits. No fees are believed due, however please apply any charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 17738-003001.

Respectfully submitted,

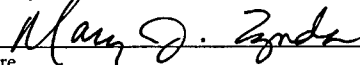
Date: 2-28-05

  
J. Peter Fasse  
Reg. No. 32,983

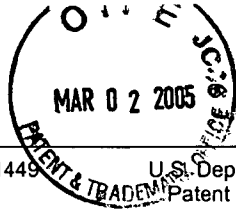
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906  
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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.P./



<b>Substitute Form PTO-1449</b> (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	<b>U.S. Department of Commerce Patent and Trademark Office</b>	<b>Attorney's Docket No.</b> 17738-003001	<b>Application No.</b> 10/728,195
	<b>Applicant</b> Lu et al.		
	<b>Filing Date</b> December 3, 2003	<b>Group Art Unit</b> 1642	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,420,030	May 30, 1995	Reitz et al.			
	AB	5,830,876	Nov. 3, 1998	Weiner et al.			
	AC	6,090,392	Jul. 18, 2000	Berman			
	AD	6,139,843	Oct. 31, 2000	Rubinstein et al.			
	AE						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AF							
	AG							
	AH							
	AI							
	AJ							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AK	Nyambi et al., "Immunoreactivity of Intact Virions of Human Immunodeficiency Virus Type 1 (HIV-1) Reveals the Existence of Fewer HIV-1 Immunotypes than Genotypes," J. Virol. 74:10670-680 (2000)
	AL	Copy of International Search Report in PCT/US03/38640 mailed February 3, 2005
	AM	

<b>Examiner Signature</b> /Bo Peng/	<b>Date Considered</b> 09/22/2010
<b>EXAMINER:</b> Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	